THE LAND AND WATER CONSERVATION FUND
FY2014 REGULAR APPORTIONMENT TO THE "STATES" OF $42,010,800
AND THE SUPPLEMENTAL APPORTIONMENT OF $1,389,666
PURSUANT TO THE GULF OF MEXICO
ENERGY SECURITY ACT (GOMESA)

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1 Statement requirement that funds be obligated within 1 year. 2 Funds in all states not expended.

**SECRETARY OF THE INTERIOR**

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1 Statutory requirement that funds be obligated within 3 years
2 Funds available until expended

Secretary of the Interior

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<td>$1,844</td>
<td>$704,558</td>
</tr>
<tr>
<td>Michigan</td>
<td>$1,139,797</td>
<td>$3,759</td>
<td>$1,143,556</td>
<td>Wyoming</td>
<td>$371,027</td>
<td>$894</td>
<td>$371,921</td>
</tr>
<tr>
<td>Minnesota</td>
<td>$737,644</td>
<td>$1,283</td>
<td>$738,927</td>
<td>District of Columbia</td>
<td>$593,381</td>
<td>$338</td>
<td>$596,719</td>
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<tr>
<td>Mississippi</td>
<td>$527,054</td>
<td>$1,272</td>
<td>$528,326</td>
<td>Puerto Rico</td>
<td>$609,993</td>
<td>$1,476</td>
<td>$611,469</td>
</tr>
<tr>
<td>Missouri</td>
<td>$787,059</td>
<td>$1,903</td>
<td>$788,962</td>
<td>Virgin Islands</td>
<td>$50,600</td>
<td>$28</td>
<td>$50,628</td>
</tr>
<tr>
<td>Montana</td>
<td>$307,434</td>
<td>$958</td>
<td>$308,392</td>
<td>Guam</td>
<td>$50,000</td>
<td>$43</td>
<td>$50,043</td>
</tr>
<tr>
<td>Nebraska</td>
<td>$470,250</td>
<td>$1,133</td>
<td>$471,383</td>
<td>American Samoan</td>
<td>$50,000</td>
<td>$15</td>
<td>$50,015</td>
</tr>
<tr>
<td>Nevada</td>
<td>$540,654</td>
<td>$1,306</td>
<td>$541,960</td>
<td>Northern Marianas</td>
<td>$50,000</td>
<td>$14</td>
<td>$50,014</td>
</tr>
</tbody>
</table>

**Totals**   
$4,138,470   $101,527   $4,239,997

---

1 Statutory requirement that funds be obligated within 3 years
2 Funds available until expended

---

K. Salazar
SECRETARY OF THE INTERIOR

MAY 18, 2012
AMENDMENT TO PROJECT AGREEMENT

THIS AMENDMENT To Project Agreement No. is hereby made and agreed upon by the United States of America, acting through the Director of the National Park Service and by the State of pursuant to the Land and Water Conservation Fund Act of 1965, 78 Stat. 897 (1964).

The State and the United States, in mutual consideration of the promises made herein and in the agreement of which this is an amendment, do promise as follows:

That the above mentioned agreement is amended by adding the following:

In all other respects the agreement of which this is an amendment, and the plans and specifications relevant thereto, shall remain in full force and effect. In witness thereof the parties hereto have executed this amendment as of the date entered below.

THE UNITED STATES OF AMERICA

By ________________________________
(Signature)

(State)

(Title)

National Park Service
United States Department of the Interior

Date ________________________________

(Name)

(Title)

Paperwork Reduction Act Statement: This information collection is authorized by the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 460j-4 et seq.). Your response is required to obtain or retain a benefit. We ask this information to determine changes made to original grant agreement. We estimate a burden of 5 hours to complete this form, including the time to review instructions, gather data, and review the form.

You may send comments on the burden estimate at any aspect of this form to the Information Collection Clearance Officer, National Park Service, 1840 C Street, NW, 310Rg, Washington, DC 20350. We may not collect or sponsor and you are not required to respond to a collection of information unless it displays a currently valid OMB control number.

NPS 10-002A, October 2013
**LAND AND WATER CONSERVATION FUND**

**DESCRIPTION AND NOTIFICATION FORM**

OMB Control No. 1024-0031

Expires: 10/31/2016

<table>
<thead>
<tr>
<th>State</th>
<th>Grant #</th>
<th>Amend #</th>
<th>Date Received</th>
<th>Date Approved</th>
<th>Expiration Date</th>
<th>Start Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Grant Name:**

**Element Name:**

**Sponsor Name:**

**Address:**

---

**Type**

A = Acquisition  
P = Planning  
D = New development  
C = Combination  
R = Restoration development  
M = Administration  
L = Local  
S = Sale

**Acreage**

Acquired  
Donated  
Acquisition Assistance

**Number of Park Sites**

---

**Financial Data Estimates:**

<table>
<thead>
<tr>
<th>Source Of Match</th>
<th>Total Cost</th>
<th>Fund Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Source Of Match:**

- Fed
- State
- Local

---

**PARK INFORMATION**

**Park Name #1:**

**County Name**

**City Name**

**Cong Dist**

**Zip Code**

**GPS Coordinates**

(Deg./Min./Sec./Dir.)

**Latitude**

**Longitude**

**Fiscal Year**

**New 6(t) Acres at Park**

And/Or

Enhanced 6(t) Acres at Park

(Previously protected acres receiving development assistance by this action)

**Total Number of 6(t) Acres at Park**

---

**SPECIAL RISSES**

A. Lease land - private
B. Lease land - federal
C. Coastal Grant
D. Lease land - expired lease
E. Former federal surplus property
F. Flood plain
G. Lands transferred to federal agency
H. Indian sponsored
J. National Historic Register property
K. National Natural Landmarks
L. Less than 15 acres
M. Mixed land
N. National Historic Landmarks
O. National Heritage Areas
P. National Mall
Q. Railroad - R.O.W
R. National River
S. Scenic trail
T. National trail
U. Utility - R.O.W
V. American heritage rivers
W. Wetlands
X. Great inviolate conservation
Y. Exchange programs
Z. Concessions programs

---

**FACILITY CODES**

**A. 00. CAMPGROUNDS**

01. Tent sites
02. RV camp sites
03. Group camps ground
04. Day camp

**B. 00. PICNIC AREAS**

01. Facility site
02. Group shelter

**C. 00. SPORTS & PLAYFIELDS**

01. General purpose playfields
02. Baseball
03. Football
04. Track / playground
05. Tennis courts
06. Basketball
07. Physical education
08. Sports field
09. Archery range
10. Bowling alley
11. Frisbee
12. Skating areas
13. Same as park
14. Other courts
15. Softball

**D. 06. GOLF COURSES**

01. Golf course
02. Putt-putt
03. Driving range
04. 9 holes
05. 18 holes
06. Mixed golf
07. From and put

**E. 09. SWIMMING FACILITIES**

01. Pool
02. Wading pool
03. Spray pool
04. Spraying beach
05. Bathtubs

**F. 00. BOATING FACILITIES**

01. Launch ramp
02. Skiffs
03. Boat lift

**G. 00. FISHING FACILITIES**

01. Pier
02. Stream improvement
03. Fishing access

**H. 00. TRAILS**

01. Hiking
02. Horse
03. Bicycle
04. Motorized
05. Natural
06. Interpretive

**J. 00. WINTER SPORTS FACILITIES**

01. Ski lodge
02. Ski slopes
03. Ski jump
04. Snowboarding
05. Snowshoeing
06. Ski trails
07. Snowmobile trails
08. Snowmobiling

**K. 00. ENCLODED SHELTER**

01. Restroom
02. Concessions
03. Picnic

**L. 00. SHELTERED ICE RINK**

01. Ice skating

**M. 00. HUNTING**

---

* Attach continuation sheet(s) for grants with 2 or more sites (one per additional site)

NPS 10-903, October 2013
LAND AND WATER CONSERVATION FUND
DESCRIPTION AND NOTIFICATION FORM
(Continuation Sheet)

PARK INFORMATION

<table>
<thead>
<tr>
<th>Park Name #</th>
<th>County Name</th>
<th>City Name</th>
<th>Cong Dist</th>
<th>Zip Code</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Prior LWCF Assistance? [ ] Yes [ ] No

GPS Coordinates (Deg./Min./Sec./Dir.) Latitude [ ] Longitude [ ]

Fiscal Year | New 6(f) Acres at Park | Enhanced 6(f) Acres at Park (Previously protected acres resulting from development assistance by this action) | Total Number of 6(f) Acres at Park
--- | --- | --- | ---
|             |             |             |          |

SPECIAL HINDGES

- A. Leased land - private
- B. Leased land - federal
- C. Coastal Great
- D. Leased time - expired lease
- E. Federal federal surplus property
- F. Flood plain

- G. Lands transferred to federal agency
- H. Indian sponsored
- J. National Historic Indian pirate
- K. National Natural Landmarks
- M. Mixed land
- N. National Historic Landmarks
- O. National Heritage Areas
- Q. Railroad - R-O-W
- R. National Awe
- S. School park
- T. National trail
- U. Utility - R-O-W
- V. American Heritage Rivers
- W. Wetlands
- X. Grant involved conversion
- Y. Endangered species
- Z. Contingency reserve

FACILITY CODES

<table>
<thead>
<tr>
<th>A 05 CAMPGROUNDS</th>
<th>D 00 GOLF COURSE</th>
<th>H 00 TRAILS</th>
</tr>
</thead>
<tbody>
<tr>
<td>01 Tent sites</td>
<td>01 Regular 18 hole</td>
<td>01 Hiking</td>
</tr>
<tr>
<td>02 RV dump.xxx</td>
<td>02 Par 3</td>
<td>02 Horse</td>
</tr>
<tr>
<td>03 Group camp ground</td>
<td>03 Driving range</td>
<td>03 Bicycle</td>
</tr>
<tr>
<td>04 Day camp</td>
<td>04 Napier's tent</td>
<td>04 Motorized</td>
</tr>
<tr>
<td></td>
<td>05 Minimum golf</td>
<td>05 Naturals</td>
</tr>
<tr>
<td></td>
<td>06 Picnic and park</td>
<td>06 Enclosure</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>G 05 SPORTS &amp; PLAYFIELDS</th>
<th>E 00 SWIMMING FACILITIES</th>
</tr>
</thead>
<tbody>
<tr>
<td>01 General purpose playgrounds</td>
<td>01 Pool</td>
</tr>
<tr>
<td>02 Basketball</td>
<td>02 Walking pool</td>
</tr>
<tr>
<td>03 Football</td>
<td>03 Spray pool</td>
</tr>
<tr>
<td>04 Tennis court</td>
<td>04 Swimming beach</td>
</tr>
<tr>
<td>05 Basketball</td>
<td>05 Boat house</td>
</tr>
<tr>
<td>06 Softball</td>
<td>06 Boating facilities</td>
</tr>
</tbody>
</table>

| 10 Freeway access       | 07 Boating facilities  |
| 11 Traffic area         | 18 Hunting             |
| 12 Parking              | 19 Other               |

 Parkinson Reduction Act Statement: This information collection is authorized by the Land and Water Conservation Fund Act of 1955 (16 U.S.C. 460l-4 et seq.). Your response is required to obtain or retain a benefit. We use this information to record and track grant projects and to analyze program trends. We estimate that it will take 1 hour to complete this form, including the time necessary to review instructions gather data and review the form. You may send comments on the burden estimate or any aspect of this form to the Information Collection Clearance Officer, National Park Service, 1849 C Street, NW, (2601), Washington, DC 20240. We may not collect or sponsor and you are not required to respond to a collection of information unless it displays a currently valid OMB control number.

NPS 10-903, October 2013
INSTRUCTIONS
DNF Form (v. Oct. 2013)

The Description and Notification Form (DNF) captures information about LWCF grants and the parks and recreation areas assisted through approval of a new grant, an amendment, to an existing grant, or a Conversion of Use provisions request. This information is used to aid in reporting the performance of the program to Members of Congress and the American people. The following directions are provided to assist you.

For amendments only relevant portions are to be completed or as noted below.

<table>
<thead>
<tr>
<th>GRANT INFORMATION</th>
<th>Summary information for the entire grant or amendment regardless of number of specific park areas or parcels involved.</th>
</tr>
</thead>
<tbody>
<tr>
<td>State</td>
<td>Enter the appropriate two-digit State identifier, e.g. 06.</td>
</tr>
<tr>
<td>Grant No.</td>
<td>Enter the five digit number for the Grant, e.g., 00456 (to be verified by NPS).</td>
</tr>
<tr>
<td>Amendment No.</td>
<td>Enter the sequential Amendment Number (to be verified by NPS).</td>
</tr>
<tr>
<td>Date Received</td>
<td>Enter the date on which an actionable project or amendment is received in the regional office. If the information received is returned to the State as incomplete and subsequently resubmitted, the date the resubmitted project was received shall be entered (to be completed by NPS).</td>
</tr>
<tr>
<td>Approval Date</td>
<td>Enter the date the project or amendment was approved as shown on the project agreement or amendment form (to be completed by NPS).</td>
</tr>
<tr>
<td>Expiration Date</td>
<td>Enter the grant expiration date as shown on the project agreement or amendment form (to be verified by NPS).</td>
</tr>
<tr>
<td>Start Date</td>
<td>The Start Date is the same as the Approval Date unless an earlier Start Date results from a previously approved Waiver of Retroactivity; If so, the waiver date is the Start Date.</td>
</tr>
<tr>
<td>Grant Name</td>
<td>Enter Project Title from the agreement document in all caps not to exceed 50 characters.</td>
</tr>
<tr>
<td>Element Name</td>
<td>Leave blank unless a consolidated project is being amended in all caps not to exceed 50 characters.</td>
</tr>
<tr>
<td>Sponsor Name</td>
<td>Enter name of Grantee or sub-grantee.</td>
</tr>
<tr>
<td>Address</td>
<td>Enter address of Grantee or sub-grantee.</td>
</tr>
<tr>
<td>Type</td>
<td>Enter the appropriate letter for type of grant. Use the definition below for guidance.</td>
</tr>
<tr>
<td>A= Acquisition</td>
<td>grants for the acquisition of lands and waters</td>
</tr>
<tr>
<td>D= New Development</td>
<td>grants where the majority of the assistance is devoted to development of new recreation or eligible support facilities.</td>
</tr>
<tr>
<td>R= Redevelopment</td>
<td>grants primarily involving renovations, rehabilitation, replacement or retrofitting of existing recreation and support facilities.</td>
</tr>
<tr>
<td>P= Planning</td>
<td>grants related to the development of a SCORP document.</td>
</tr>
<tr>
<td>C= Combination</td>
<td>grants involving both acquisition and development.</td>
</tr>
<tr>
<td>M= Other</td>
<td>if authorized.</td>
</tr>
</tbody>
</table>
| **Sponsor** | Enter appropriate letter for sponsor type.  
| - | **L** = Local: a local unit of government (including tribes) other than a county or parish.  
| - | **C** = County or Parish.  
| - | **S** = State  

| **Acreage Acquired** | When the project type is either A or C, enter the total numbers of acres to be acquired to the nearest tenth regardless of number of parcels or their location (purchased acres + donated acreage)  

| **Donated Acres** | Indicate to the nearest tenth of an acre that portion of the total acreage being donated if any.  

| **Acquisition Assistance** | Enter the Fund assistance provided to cover actual cash outlay for real property purchased, including project administration and relocation costs. For C projects, administration costs will be prorated between development and acquisition.  

If all the acres acquired are donated, i.e., total acres acquired = donated acres acquired, there is no acquisition cost and this field should remain empty. If the total acres acquired are larger than the donated acres acquired, acquisition assistance is to be entered.  

| **No. of Park Sites** | Identify the number of separate park sites (distinct park units) being assisted under this project. Park information for each site is to be completed below.  

For conversions, the total number of park sites associated with the grant as a result of a conversion should be entered (e.g., enter 1 for a total conversion and replacement; enter 2 for a partial conversion with one replacement site).  

| **Total Cost** | Enter the estimated total cost of project as shown on the grant agreement. For amendments enter the total cost of the amendment.  

| **Fund Amount** | Enter the LWCF dollar share of the total cost, not to exceed 50 percent. For amendments enter the LWCF dollar share of the amendment.  

| **Source of Match** | Identify the source of the non-LWCF share of the project cost by selecting the appropriate box. Other federal programs authorized by law to match LWCF grants will be considered "Federal" (i.e., CDBG).  

Note: the application of a negotiated indirect cost rate requires a State contribution and must be so identified.  

---  

**PARK INFORMATION**  

| **Park Name** | Information related to each park or recreation area impacted by the grant (or added through a conversion). For projects involving more than one park/area, attach a Continuation sheet for each. This information does not apply to planning and program administration grants.  

| **Park Name** | Enter the official name of the park or recreation area (XYZ Park, Recreation Area, State Natural Area, Playground, Field, Preserve, etc.). If unknown, enter a generic name as a place holder for subsequent correction. Do not repeat descriptors often found in the Grant Name which are not designated park names such as "development", "improvement", "Phase II," etc. If the funded.
<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>County Name</td>
<td>Enter the county in which the funded park or recreation area is located. If in more than one county, show all.</td>
</tr>
<tr>
<td>City Name</td>
<td>Enter name of city (township, etc.) in which funded park or recreation area is located. For projects not located within a sub-county jurisdiction, enter name of jurisdiction located nearest to actual park site.</td>
</tr>
<tr>
<td>Congressional District</td>
<td>Enter the 2-digit number for the Congressional District in which the funded park or recreation area is located. If physically located in more than one district, enter number of each district in which located.</td>
</tr>
<tr>
<td>Zip Code</td>
<td>Enter 9-digit zip code in which the funded park or recreation area is located (00000-0000).</td>
</tr>
<tr>
<td>Prior LWCF Assistance</td>
<td>Check appropriate box to indicate whether this park or recreation area has ever received LWCF assistance before. If XYZ Park has neither been acquired in total or in part nor previously developed through a prior LWCF grant, enter &quot;No&quot;.</td>
</tr>
<tr>
<td>GPS Coordinates</td>
<td>Enter the geo-reference coordinates in degree, minutes, seconds, and direction for the park or recreation area. This point should refer to the approximate center point of the 6(f) area as is feasible. In all cases, the coordinates should correspond to area within the 6(f) boundary. For projects involving linear parks, such as trails, the reference point should relate to the middle section of the trail.</td>
</tr>
<tr>
<td>Fiscal Year</td>
<td>This is the federal Fiscal Year in which new grants and amendments are &quot;approved&quot; by NPS and the contract document is executed. Fiscal Year is not the date submitted to NPS.</td>
</tr>
<tr>
<td>New 6(f) Acres at Park</td>
<td>Enter the total acreage of the 6(f) area that is newly protected under this grant through either acquisition, new development, redevelopment or a combination of either. The area should be clearly shown on the 6(f) boundary map and in most cases will include the acreage of the entire park. For amendments, new acres will only be shown if there is an increase in the Federal share for the purpose of adding additional acres to the project scope.</td>
</tr>
<tr>
<td>Enhanced 6(f) Acres at Park</td>
<td>Enter the previously protected 6(f) acreage at the park or recreation area that is now receiving &quot;development&quot; assistance (enhancement) through a new development, renovation development or combination project. In other words, the park area is receiving further improvement in addition to what has been received through prior LWCF assistance. The acreage enhanced is not limited to the footprint of the development being funded but includes the total acreage previously protected through prior grants and identified on the pre-existing 6(f) boundary map. For amendments, enhanced acres will only be shown for amendments which increase the Federal share for the specific purpose of adding new scope items within the 6(f) area.</td>
</tr>
<tr>
<td>Total Number of 6(f) acres at Park</td>
<td>Enter the total number of 6(f) acres now protected as a result of the current and all previous grants or amendments at the site. It is intended to capture the size of the LWCF-protected recreation...</td>
</tr>
<tr>
<td><strong>estate at the facility as a result of both current and past LWCF involvement. This number should reflect changes resulting from conversions.</strong></td>
<td></td>
</tr>
</tbody>
</table>
| **Special Indices** | These indices reflect special/unalusual features of a proposal. Select all that are relevant.  
For amendments which add or delete special indices previously entered, overwrite the special indices originally entered with all those applicable as of the latest amendment. |
| **Facility Codes** | Select as many facility codes (Primary plus subcodes) as necessary to describe the specific facilities being developed. For acquisition projects, select only primary codes (A00, B00, etc.) which represent the planned use of the site. For combination projects, select the primary codes plus the appropriate subcodes.  
For amendments which add or delete facility codes previously entered, overwrite the facility codes originally entered with all those applicable as of the latest amendment. |
| **Creation of a New Park or Recreation Area (Reserved)** | Indicate whether this LWCF grant will result in the acquisition or development of a facility providing outdoor recreation opportunity that previously did not previously exist. New acres added or new development at a previously existing facility are not included under this definition (this information is currently being collected by NPS and will be added to the next revision of the DNF). |
U.S. Department of the Interior

Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug-Free Workplace Requirements and Lobbying

Persons signing this form should refer to the regulations referenced below for complete instructions:

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions - The prospective primary participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. See below for language to be used or use this form certification and sign. (See Appendix A of Subpart D of 43 CFR Part 12.)

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions - (See Appendix B of Subpart D of 43 CFR Part 12.)

Certification Regarding Drug-Free Workplace Requirements - Alternate I. (Grantees Other Than Individuals) and Alternate II. (Grantees Who Are Individuals) - (See Appendix C of Subpart D of 43 CFR Part 12)

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 18. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of the Interior determines to award the covered transaction, grant, cooperative agreement or loan.

PART A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

CHECK ______ IF THIS CERTIFICATION IS FOR A PRIMARY COVERED TRANSACTION AND IS APPLICABLE.

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

PART B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions

CHECK ______ IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE.

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.
PART C: Certification Regarding Drug-Free Workplace Requirements

CHECK ___ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL.

Alternate I. (Grantees Other Than Individuals)

A. The grantee certifies that it will or continue to provide a drug-free workplace by:

   (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

   (b) Establishing an ongoing drug-free awareness program to inform employees about—

      (1) The dangers of drug abuse in the workplace;

      (2) The grantee's policy of maintaining a drug-free workplace;

      (3) Any available drug counseling, rehabilitation, and employee assistance programs; and

      (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

   (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

   (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will—

      (1) Abide by the terms of the statement; and

      (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

   (e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

   (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted—

      (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

      (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

   (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check ___ if there are workplaces on files that are not identified here.

PART D: Certification Regarding Drug-Free Workplace Requirements

CHECK ___ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS AN INDIVIDUAL.

Alternate II. (Grantees Who Are Individuals)

(a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction. In writing, within 10 calendar days of the conviction, to the grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

DI-3510
June 1994
(This form replaces DI-1833, DI-1924,
DI-1983; DI-1855 and DI-1823)
PART E: Certification Regarding Lobbying
Certification for Contracts, Grants, Loans, and Cooperative Agreements

CHECK IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND THE AMOUNT EXCEEDS $100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT, SUBCONTRACT, OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.

CHECK IF CERTIFICATION FOR THE AWARD OF A FEDERAL LOAN EXCEEDING THE AMOUNT OF $150,000, OR A SUBGRANT OR SUBCONTRACT EXCEEDING $100,000, UNDER THE LOAN.

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

As the authorized certifying official, I hereby certify that the above specified certifications are true.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

TYPED NAME AND TITLE

DATE

05-30-98
June 1998
(10/11/79 replaces DI-1553, DI-1554,
DI-1555, DI-1556 and DI-1633)
LAND AND WATER CONSERVATION FUND
PROJECT AGREEMENT GENERAL PROVISIONS

Part I - Definitions
A. The term "NPS" or "Service" as used herein means the National Park Service, United States Department of the Interior.
B. The term "Director" as used herein means the Director of the National Park Service, or any representative lawfully delegated the authority to act for such Director.
C. The term "Manual" as used herein means the Land and Water Conservation Fund State Assistance Program Manual.
D. The term "project" as used herein means a Land and Water Conservation Fund grant which is subject to the project agreement and/or its subsequent amendments.
E. The term "State" as used herein means the State or Territory which is a party to the project agreement, and, where applicable, the political subdivision or public agency to which funds are to be transferred pursuant to this agreement. Wherever a term, condition, obligation, or requirement refers to the State, such term, condition, obligation, or requirement shall also apply to the recipient political subdivision or public agency, except where it is clear from the nature of the term, condition, obligation, or requirement that it is to apply solely to the State. For purposes of these provisions, the terms "State," "grantee," and "recipient" are deemed synonymous.
F. The term "Secretary" as used herein means the Secretary of the Interior, or any representative lawfully delegated the authority to act for such Secretary.

Part II - Continuing Assurances
The parties to the project agreement specifically recognize that the Land and Water Conservation Fund project creates an obligation to maintain the property described in the project agreement and supporting application documentation consistent with the Land and Water Conservation Fund Act and the following requirements.

Further, it is the acknowledged intent of the parties hereto that recipients of assistance will use monies granted hereunder for the purposes of this program, and that assistance granted from the Fund will result in a net increase, commensurate at least with the Federal cost-share, in the participant's outdoor recreation.

It is intended by both parties hereto that assistance from the Fund will be added to, rather than replace or be substituted for, State and local outdoor recreation funds.

A. The State agrees, as recipient of this assistance, that it will meet the following specific requirements and that it will further impose these requirements, and the terms of the project agreement, upon any political subdivision or public agency to which funds are transferred pursuant to the project agreement. The State also agrees that it shall be responsible for compliance with the terms of the project agreement by such a political subdivision or public agency and that failure by such political subdivision or public agency to so comply shall be deemed a failure by the State to comply with the terms of this agreement.

B. The State agrees that the property described in the project agreement and the signed and dated project boundary map made part of this agreement is being acquired or developed with Land and Water Conservation Fund assistance, or is integral to such acquisition or development, and that, without the approval of the Secretary, it shall not be converted to other than public outdoor recreation use but shall be maintained in public outdoor recreation in perpetuity or for the term of the lease in the case of leased property. The Secretary shall approve such conversion only if it is found to be in accord with the then existing comprehensive statewide outdoor recreation plan and only upon such conditions deemed necessary to assure the substitution of other recreation properties of at least equal fair market value and of reasonably equivalent usefulness and location pursuant to Title 36 Part 59.3 of the Code of Federal Regulations. This replacement land becomes subject to Section 6(f)(3) protection. The approval of a conversion shall be at the sole discretion of the Secretary, or his designee.

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10.01.2008
Prior to the completion of this project, the State and the Director may mutually alter the area described in the project agreement and the signed and dated project boundary map to provide the most satisfactory public outdoor recreation unit, except that acquired parcels are afforded Section 6(f)(3) protection as Fund reimbursement is provided.

In the event the NPS provides Land and Water Conservation Fund assistance for the acquisition and/or development of property with full knowledge that the project is subject to reversionary rights and outstanding interests, conversion of said property to other than public outdoor recreation uses as a result of such right or interest being exercised will occur. In receipt of this approval, the State agrees to notify the Service of the potential conversion as soon as possible and to seek approval of replacement property in accord with the conditions set forth in these provisions and program regulations. The provisions of this paragraph are also applicable to: leased properties acquired and/or developed with Fund assistance where such lease is terminated prior to its full term due to the existence of provisions in such lease known and agreed to by the Service; and properties subject to other outstanding rights and interests that may result in a conversion when known and agreed to by the Service.

C. The State agrees that the benefit to be derived by the United States from the full compliance by the State with the terms of this agreement is the preservation, protection, and the net increase in the quality of public outdoor recreation facilities and resources which are available to the people of the State and of the United States, and such benefit exceeds to an immeasurable and uncertain extent the amount of money furnished by the United States by way of assistance under the terms of this agreement. The State agrees that payment by the State to the United States of an amount equal to the amount of assistance extended under this agreement by the United States would be inadequate compensation to the United States for any breach by the State of this agreement.

The State further agrees, therefore, that the appropriate remedy in the event of a breach by the State of this agreement shall be the specific performance of this agreement or the submission and approval of a conversion-of-use request as described in Section II.B above.

D. The State agrees to comply with the policies and procedures set forth in Manual. Provisions of said Manual are incorporated into and made a part of the project agreement.

E. The State agrees that the property and facilities described in the project agreement shall be operated and maintained as prescribed by Manual requirements and published post-completion compliance regulations (Title 36 Part 59 of the Code of Federal Regulations).

F. The State agrees that a permanent record shall be kept in the participant's public property records and available for public inspection to the effect that the property described in the scope of the project agreement, and the signed and dated project boundary map made part of that agreement, has been acquired or developed with Land and Water Conservation Fund assistance and that it cannot be converted to other than public outdoor recreation use without the written approval of the Secretary of the Interior.

G. Nondiscrimination

1. By signing the LWCF agreement, the State certifies that it will comply with all Federal laws relating to nondiscrimination as outlined in the Civil Rights Assurance appearing at Part III-I herein.

2. The State shall not discriminate against any person on the basis of residence, except to the extent that reasonable differences in admission or other fees may be maintained on the basis of residence as set forth in the Manual.

Part III - Project Assurances

A. Applicable Federal Circulars

The State shall comply with applicable regulations, policies, guidelines and requirements as they relate to the application, acceptance and use of Federal funds for this federally assisted project, including:

- OMB Circular A-102, Uniform Administrative Requirements for Grants and Cooperative Agreements

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with State and Local Governments;

- 43 CFR Part 12, Administrative and Audit Requirements and Cost Principles for Assistance Programs, Department of the Interior;

- A-87, Cost Principles for State, Local, and Indian Tribal Governments; and

- A-133, Audits of States, Local Governments, and Non-Profit Organizations.

B. **Project Application**

1. The Application for Federal Assistance bearing the same project number as the agreement and associated documents is by this reference made a part of the agreement.

2. The State possesses legal authority to apply for the grant, and to finance and construct the proposed facilities. A resolution, motion or similar action has been duly adopted or passed authorizing the filing of the application, including all understandings and assurances contained herein, and directing and authorizing the person identified as the official representative of the State to act in connection with the application and to provide such additional information as may be required.

3. The State has the capability to finance the non-Federal share of the costs for the project. Sufficient funds will be available to assure effective operation and maintenance of the facilities acquired or developed by the project.

C. **Project Execution**

1. The project period shall begin with the date of approval of the project agreement or the effective date of a waiver of retroactivity and shall terminate at the end of the stated or amended project period unless the project is completed or terminated sooner in which event the project shall end on the date of completion or termination.

2. The State shall transfer to the project sponsor identified in the Application for Federal Assistance or the Description and Notification Form all funds granted hereunder except those reimbursed to the State to cover eligible administrative expenses.

3. The State will cause work on the project to be commenced within a reasonable time after receipt of notification that funds have been approved and assure that the project will be prosecuted to completion with reasonable diligence.

4. The State will require the facility to be designed to comply with the Architectural Barriers Act of 1968 (Public Law 90-480) and DOI Section 504 Regulations (43 CFR Part 17). The State will be responsible for conducting inspections to insure compliance with these specifications by the contractor.

5. The State shall secure completion of the work in accordance with approved construction plans and specifications, and shall secure compliance with all applicable Federal, State, and local laws and regulations.

6. In the event the project covered by the project agreement, cannot be completed in accordance with the plans and specifications for the project; the State shall bring the project to a point of recreational usefulness agreed upon by the State and the Director or his designee.

7. The State will provide for and maintain competent and adequate architectural/engineering supervision and inspection at the construction site to insure that the completed work conforms with the approved plans and specifications; that it will furnish progress reports and such other information as the NPS may require.

8. The State will comply with the terms of Title II and Title III, the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646), 94 Stat. 1894 (1970), and the applicable regulations and procedures implementing such Act for all real property acquisitions and where applicable shall assure that the Act has been complied with for property to be developed with assistance under the project agreement.

Page 3 of 8
9. The State will comply with the provisions of: Executive Order 11988, relating to evaluation of flood hazards; Executive Order 11288, relating to the prevention, control, and abatement or water pollution, and Executive Order 11990 relating to the protection of wetlands.

10. The State will comply with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973, Public Law 93-234, 87 Stat. 975, approved December 31, 1976. Section 102(a) requires the purchase of flood insurance in communities where such insurance is available, as a condition for the receipt of any Federal financial assistance for construction or acquisition purposes, for use in any area that has been identified as an area having special flood hazards by the Flood Insurance Administration of the Federal Emergency Management Agency. The phrase "Federal financial assistance" includes any form of loan, grant, guaranty, insurance payment, rebate, subsidy, disaster assistance loan or grant, or any other form of direct or indirect Federal assistance.

11. The State will assist the NPS in its compliance with Section 106 of the National Historic Preservation Act of 1966 as amended (16 U.S.C. 470), Executive Order 11593, and the Archaeological and Historic Preservation Act of 1966 (16 U.S.C. 460a-1 et seq.) by (a) consulting with the State Historic Preservation Officer on the conduct of investigations, as necessary, to identify properties listed in or eligible for inclusion in the National Register of Historic Places that are subject to effects (see CFR Part 800.3) by the activity, and notifying the Federal grantor agency of the existence of any such properties, and by (b) complying with all requirements established by the Federal grantor agency to avoid or mitigate adverse effects upon such properties.

12. The State will comply with "Minority Business Enterprises" and "Women's Business Enterprises" pursuant to Executive Orders 11225 and 12138 as follows:

(1) Place minority and women business firms on bidder's mailing lists.

(2) Solicit these firms whenever they are potential sources of supplies, equipment, construction, or services.

(3) Where feasible, divide total requirements into smaller needs, and set delivery schedules that will encourage participation by these firms.

(4) The Department of the Interior is committed to the objectives of this policy and encourages all recipients of its grants and cooperative agreements to take affirmative steps to ensure such fairness.

The National Park Service Regional Offices will work closely with the States to ensure full compliance and that grant recipients take affirmative action in placing a fair share of purchases with minority business firms.

13. The State will comply with the intergovernmental review requirements of Executive Order 12372.

D. Construction Contracted for by the State Shall Meet the Following Requirements:

1. Contracts for construction shall comply with the provisions of 43 CFR Part 12 (Administrative and Audit Requirements and Cost Principles for Assistance Programs, Department of the Interior).

2. No grant or contract may be awarded by any grantee, subgrantee or contractor of any grantee or subgrantee to any party which has been debarred or suspended under Executive Order 12549. By signing the LWCF agreements, the State certifies that it will comply with debarment and suspension provisions appearing in Part 1233-J herein.

E. Retention and Custodial Requirements for Records

1. Financial records, supporting documents, statistical records, and all other records pertinent to this grant shall be retained in accordance with 43 CFR Part 12 for a period of three years, except the records shall be retained beyond the three-year period if audit findings have not been resolved.
2. The retention period starts from the date of the final expenditure report for the project.

3. State and local governments are authorized to substitute copies in lieu of original records.

4. The Secretary of the Interior and the Comptroller General of the United States, or any of their duly authorized representatives, shall have access to any books, documents, papers, and records of the State and local governments and their subgrantees which are pertinent to a specific project for the purpose of making audit, examination, excerpts and transcripts.

F. Project Termination

1. The Director may temporarily suspend Federal assistance under the project pending corrective action by the State or pending a decision to terminate the grant by the Service.

2. The State may unilaterally terminate the project at any time prior to the first payment on the project. After the initial payment, the project may be terminated, modified, or amended by the State only by mutual agreement.

3. The Director may terminate the project in whole, or in part, at any time before the date of completion, whenever it is determined that the grantee has failed to comply with the conditions of the grant. The Director will promptly notify the State in writing of the determination and the reasons for the termination, together with the effective date. Payments made to States or recoveries by the Service under projects terminated for cause shall be in accord with the legal rights and liabilities of the parties.

4. The Director or State may terminate grants in whole, or in part, at any time before the date of completion, when both parties agree that the continuation of the project would not produce beneficial results commensurate with the further expenditure of funds. The two parties shall agree upon the termination conditions, including the effective date and, in the case of partial termination, the portion to be terminated. The grantee shall not incur new obligations for the terminated portion after the effective date, and shall cancel as many outstanding obligations as possible. The NPS may allow full credit to the State for the Federal share of the noncancelable obligations, properly incurred by the grantee prior to termination.

5. Termination either for cause or for convenience requires that the project in question be brought to a state of recreational usefulness agreed upon by the State and the Director or that all funds provided by the National Park Service be returned.

G. Lobbying with Appropriated Funds

The State must certify, for the award of grants exceeding $100,000 in Federal assistance, that no Federally appropriated funds have been paid or will be paid, by or on behalf of the State, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding, extension, continuation, renewal, amendment, or modification of this grant. In compliance with Section 1352, title 31, U.S. Code, the State certifies, as follows:

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contracts, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contracts, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement,
the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all levels (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of facts upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

H. Provision of a Drug-Free Workplace

In compliance with the Drug-Free Workplace Act of 1988 (43 CFR Part 12, Subpart D), the State certifies, as follows:

The grantee certifies that it will or continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possesses, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an ongoing drug-free awareness program to inform employees about:

(1) The dangers of drug abuse in the workplace;
(2) The grantee's policy of maintaining a drug-free workplace;
(3) Any available drug counseling, rehabilitation, and employee assistance programs; and
(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee be engaged in the performance of a grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employees in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:

(1) Abide by the terms of the statement; and
(2) Notify the employee in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction, Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).
The State must include with its application for assistance a specification of the site(s) for the performance of work to be done in connection with the grant.

I. Civil Rights Assurance

The State certifies that, as a condition to receiving any Federal assistance from the Department of the Interior, it will comply with all Federal laws relating to nondiscrimination. These laws include, but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d-1), which prohibits discrimination on the basis of race, color, or national origin; (b) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), which prohibits discrimination on the basis of handicap; (c) the Age Discrimination Act of 1975, as amended (42 U.S.C. 6101 et. seq.), which prohibits discrimination on the basis of age; and applicable regulatory requirements to the end that no person in the United States shall, on the grounds of race, color, national origin, handicap or age, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity conducted by the Applicant. THE APPLICANT HEREBY GIVES ASSURANCE THAT it will immediately take any measures necessary to effectuate this agreement.

THIS ASSURANCE shall apply to all aspects of the applicant's operations including those parts that have not received or benefited from Federal financial assistance.

If any real property or structure therein is provided or improved with the aid of Federal financial assistance extended to the Applicant by the Department, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which it retains ownership or possession of the property. In all other cases, this assurance shall obligate the Applicant for the period during which the Federal financial assistance is extended to it by the Department.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, contracts, property, discounts or other Federal financial assistance extended after the date hereof to the Applicant by the Department, including installment payments after such date on account of applications for Federal financial assistance which were approved before such date.

The Applicant recognizes and agrees that such Federal financial assistance will be extended in reliance on the representations and agreements made in this assurance, and that the United States shall have the right to seek judicial enforcement of this assurance. The assurance is binding on the Applicant, its successors, transferees, assigns, and subrogees and the person whose signature appears on the grant agreement and who is authorized to sign on behalf of the Applicant.

II. Debarment and Suspension

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals;

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transactions or contract under a public transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission or embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statement, or receiving stolen property;

(c) Are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

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10/01/2003
(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The State further agrees that it will include the clause “Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions” appearing below in any agreement entered into with lower tier participants in the implementation of this grant. Department of Interior Form 1954 (DI-1954) may be used for this purpose.

Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this application that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this application.
LWCF Proposal Description and Environmental Screening Form

The purpose of this Proposal Description and Environmental Screening Form (PD/ESF) is to provide descriptive and environmental information about a variety of Land and Water Conservation Fund (LWCF) state assistance proposals submitted for National Park Service (NPS) review and decision. The completed PD/ESF becomes part of the "federal administrative record" in accordance with the National Environmental Policy Act (NEPA) and its implementing regulations. The PD portion of the form captures administrative and descriptive details enabling the NPS to understand the proposal. The ESF portion is designed for States and/or project sponsors to use while the LWCF proposal is under development. Upon completion, the ESF will indicate the resources that could be impacted by the proposal enabling States and/or project sponsors to more accurately follow an appropriate pathway for NEPA analysis: 1) a recommendation for a Categorical Exclusion (CE), 2) production of an Environmental Assessment (EA), or 3) production of an Environmental Impact Statement (EIS). The ESF should also be used to document any previously conducted yet still viable environmental analysis if used for this federal proposal. The completed PD/ESF must be submitted as part of the State's LWCF proposal to NPS.

Except for the proposals listed below, the PD/ESF must be completed, including the appropriate NEPA document, signed by the State, and submitted with each new federal application for LWCF assistance and amendments for: scope changes that alter or add facilities and/or acre; conversions; public facility exceptions; sheltering outdoor facilities; and changing the original intended use of an area from that which was approved in an earlier LWCF agreement. Consult the LWCF Program Manual (www.nps.gov/lwcf) for detailed guidance for your type of proposal and on how to comply with NEPA.

For the following types of proposals only this Cover Page is required because these types of proposals are administrative in nature and are categorically excluded from further NEPA environmental analysis. NPS will complete the NEPA CE Form. Simply check the applicable box below, and complete and submit only this Cover Page to NPS along with the other items required for your type of proposal as instructed in the LWCF Program Manual.

☐ SCORP planning proposal
☐ Time extension with no change in project scope or with a reduction in project scope
☐ To delete work and no other work is added back into the project scope
☐ To change project cost with no change in project scope or with a reduction in project scope
☐ To make an administrative change that does not change project scope

Name of LWCF Proposal: ___________________________  Date Submitted to NPS: ____________

Prior LWCF Project Number(s) List all prior LWCF project numbers and all park names associated with assisted site(s):

Local or State Project Sponsoring Agency (recipient or sub-recipient in case of pass-through grants):

Local or State Sponsor Contact: ___________________________

Name/Title:

Office/Address: __________________________________________

Phone/Fax: ___________________________  Email: ___________________________

Paperwork Reduction Act Statement: This information collection is authorized by the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 460j-4 et seq.). Your response is required to obtain or retain a benefit. We use this information to obtain descriptive and environmental information about the proposal. Completion times vary widely depending on the use of the form, from approximately 30 minutes to complete the cover page only to 500 hours for a difficult conversion of use. We estimate that the average completion time for this form is 8 hours for an application, 2 hours for an amendment, and 112 hours for a conversion of use, including the time necessary to review instructions, gather data, and review the form. You may send comments on the burden estimate or any aspect of this form to the Information Collection Clearance Officer, National Park Service, 1849 C Street, NW, (2061), Washington, DC 20240. We may not collect data and you are not required to respond to a collection of information unless it displays a currently valid OMB control number.

Cover Page 10/01/2013
Using a separate sheet for narrative descriptions and explanations, address each item and question in the order it is presented, and identify each response with its item number such as Step 1-A1, A2; Step 3-B1; Step 6-A1, A29; etc.

**Step 1. Type of LWCF Proposal**

- [ ] New Project Application
  - [ ] Acquisition  [Go to Step 2A]
  - [ ] Development  [Go to Step 2B]
  - [ ] Combination (Acquisition & Development)  [Go to Step 2C]

**Project Amendment**

- [ ] Increase in scope or change in scope from original agreement.
  - Complete Steps 3A, and 5 through 7.

- [ ] 6(f) conversion proposal. Complete Steps 3B, and 5 through 7.

- [ ] Request for public facility in a Section 6(f) area. Complete Steps 3C, and 5 through 7.

**Request for temporary non-conforming use in a Section 6(f) area.**

Complete Steps 4A, and 5 through 7.

**Request for significant change in use/intent of original LWCF application.**

Complete Steps 4B, and 5 through 7.

**Request to shelter existing/new facility within a Section 6(f) area regardless of funding source.**

Complete Steps 4C, and 5 through 7.

**Step 2. New Project Application** (See LWCF Manual for guidance.)

**A. For an Acquisition Project**

1. Provide a brief narrative about the proposal that provides the reasons for the acquisition, the number of acres to be acquired with LWCF assistance, and a description of the property. Describe and quantify the types of existing resources and features on the site (for example, 50 acres wetland, 2,000 feet beachfront, 200 acres forest, scenic views, 100 acres riparian, vacant lot, special habitat, any unique or special features, recreation amenities, historic/cultural resources, hazardous materials/ contamination history, restrictions, institutional controls, easements, rights-of-way, above ground/underground utilities, including wires, towers, etc.).

2. How and when will the site be made open and accessible for public outdoor recreation use (signage, entries, parking, site improvements, allowable activities, etc.)?

3. Describe development plans for the proposal for the site(s) for public outdoor recreation use within the next three (3) years.

4. SLO must complete the State Appraisal/Waiver Valuation Review form in Step 7 certifying that the appraisal(s) has been reviewed and meets the "Uniform Appraisal Standards for Federal Land Acquisitions" or a waiver valuation was approved per 49 CFR 24.102(c)(2)(ii). State should retain copies of the appraisals and make them available if needed.

5. Address each item in "D" below.

**B. For a Development Project**

1. Describe the physical improvements and/or facilities that will be developed with federal LWCF assistance, including a site sketch depicting improvements, where and how the public will access the site, parking, etc. Indicate entrances on 6(f) map. Indicate to what extent the project involves new development, rehabilitation, and/or replacement of existing facilities.

2. When will the project be completed and open for public outdoor recreation use?

3. Address each item in "D" below.
C. For a Combination Project

1. For the acquisition part of the proposal:
   a. Provide a brief narrative about the proposal that provides the reasons for the acquisition, number of acres to be acquired with LWCF assistance, and describes the property. Describe and quantify the types of existing resources and features on the site (for example, 50 acres wetland, 2,000 feet beachfront, 200 acres forest, scenic views, 100 acres riparian, vacant lot, special habitat, any unique or special features, recreation amenities, historic/cultural resources, hazardous materials/ contamination history, restrictions, institutional controls, easements, rights-of-way, above ground/underground utilities, including wires, towers, etc.)
   b. How and when will the site be made open and accessible for public outdoor recreation use (signage, entries, parking, site improvements, allowable activities, etc.)?
   c. Describe development plans for the proposed for the site(s) for public outdoor recreation use within the next three (3) years.
   d. SLO must complete the State Appraisal/Waiver Valuation Review form in Step 7 certifying that the appraisal(s) has been reviewed and meets the “Uniform Appraisal Standards for Federal Land Acquisitions” or a waiver valuation was approved per 49 CFR 24.102(c)(2)(ii). State should retain copies of the appraisals and make them available if needed.

2. For the development part of the proposal:
   a. Describe the physical improvements and/or facilities that will be developed with federal LWCF assistance, including a site sketch depicting improvements, where and how the public will access the site, parking, etc. Indicate entrances on 6(f) map. Indicate to what extent the project involves new development, rehabilitation, and/or replacement of existing facilities.
   b. When will the project be completed and open for public outdoor recreation use?

3. Address each item in “D” below.

D. Additional items to address for a new application and amendments

1. Will this proposal create a new public park/recreation area that none previously existed and is not an addition to an existing public park/recreation area? Yes ___ No ___ (go to #3) No ___ (go to #2)

2. a. What is the name of the pre-existing public area that this new site will be added to?
   b. Is the pre-existing public park/recreation area already protected under Section 6(f)? Yes ___ No ___ If no, will it now be included in the 6(f) boundary? Yes ___ No ___

3. What will be the name of this new public park/recreation area?

4. a. Who will hold title to the property assisted by LWCF? Who will manage and operate the site(s)?
   b. What is the sponsor’s type of ownership and control of the property?
      ____ Fee simple ownership
      ____ Less than fee simple. Explain:
      ____ Lease. Describe lease terms including renewable clauses, # of years remaining on lease, etc.
      Who will lease area? Submit copy of lease with this PD/ESF. (See LWCF Manual for program restrictions for leases and further guidance.)

5. Describe the nature of any rights-of-way, easements, reversionary interests, etc. to the Section 6(f) park area? Indicate the location on 6(f) map. Do parties understand that a Section 6(f) conversion may occur if private or non-recreation activities occur on any pre-existing right-of-way, easement, leased area?

6. Are overhead utility lines present, and if so, explain how they will be treated per LWCF Manual.

7. As a result of this project, describe new types of outdoor recreation opportunities and capacities, and short and long term public benefits.
8. Explain any existing non-recreation and non-public uses that will continue on the site(s) and/or proposed for the future within the 6(f) boundary.

9. Describe the planning process that led to the development of this proposal. Your narrative should address:
   a. How was the interested and affected public notified and provided opportunity to be involved in planning for and developing your LWCF proposal? Who was involved and how were they able to review the completed proposal, including any state, local, federal agency professionals, subject matter experts, members of the public and Indian Tribes. Describe any public meetings held and/or formal public comment periods, including dates and length of time provided for the public to participate in the planning process and/or to provide comments on the completed proposal.
   b. What information was made available to the public for review and comment? Did the sponsor provide written responses addressing the comments? If so, include responses with this PD/ESF submission.

10. How does this proposal implement statewide outdoor recreation goals as presented in the Statewide Comprehensive Outdoor Recreation Plan (SCORP) (include references), and explain why this proposal was selected using the State’s Open Project Selection Process (OPSP).

11. List all source(s) and amounts of financial match to the LWCF federal share of the project. The value of the match can consist of cash, donation, and in-kind contributions. The federal LWCF share and financial matches must result in a viable outdoor recreation area and not rely on other funding not mentioned here. Other federal resources may be used as a match if specifically authorized by law.

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<thead>
<tr>
<th>Source</th>
<th>Type of Match</th>
<th>Value</th>
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12. Is this LWCF project scope part of a larger effort not reflected on the SF-424 (Application for Federal Assistance) and grant agreement? If so, briefly describe the larger effort, funding amount(s) and source(s). This will capture information about partnerships and how LWCF plays a role in leveraging funding for projects beyond the scope of this federal grant.

13. List all required federal, state, and local permits/approvals needed for the proposal and explain their purpose and status.

Proceed to Steps 5 through 7

Step 3. Project Amendment (See LWCF Manual for guidance.)

A. Increase/Change in Project Scope
   1. For Acquisition Projects: To acquire additional property that was not described in the original project proposal and NEPA documentation, follow Step 2A-Acquisition Project and 2D.

   2. For Development Projects: To change the project scope for a development project that alters work from the original project scope by adding elements or enlarging facilities, follow Step 2B-Development Project and 2D.

   3. For Combination Projects: Follow Step 2C as appropriate.

B. Section 6(f)(3) Conversion Proposal
   Prior to developing your Section 6(f)(3) conversion proposal, you must consult the LWCF Manual and 36 CFR 59.3 for complete guidance on conversions. Local sponsors must consult early with the State LWCF manager when a conversion is under consideration or has been discovered. States must consult with their NPS-LWCF manager as early as possible in the conversion process for guidance and to sort out and discuss details of the conversion proposal to avoid mid-course corrections and unnecessary delays. A critical first step is for the State and NPS to agree on the size of the Section 6(f) park land impacted by any non-recreation, non-public use.

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especially prior to any appraisal activity. Any previous LWCF project agreements and actions must be identified and understood to determine the actual Section 6(f) boundary.

The Section 6(f)(3) conversion proposal including the required NEPA environmental review documents (CE recommendation or an EA document) must focus on the loss of public outdoor recreation park land and recreational usefulness, and its replacement per 36 CFR 59, and not the activities precipitating the conversion or benefits thereof, such as the impacts of constructing a new school to relieve overcrowding or constructing a hotel/restaurant facility to stimulate the local economy. Rather, the environmental review must 1) focus on “resource impacts” as indicated on the ESF (Step 6), including the loss of public park land and recreation opportunities (ESF A-15), and 2) the impacts of creating new replacement park land and replacement recreation opportunities. A separate ESF must be generated for the converted park area and each replacement site. Section 6(f)(3) conversions always have more than minor impacts to outdoor recreation (ESF A-15) as a result of loss of parkland requiring an EA, except for “small” conversions as defined in the LWCF Manual Chapter 6.

For NPS review and decision, the following elements are required to be included in the State’s completed conversion proposal to be submitted to NPS:

1. A letter of transmittal from the SLO recommending the proposal.

2. A detailed explanation of the sponsor’s need to convert the Section 6(f) parkland including all efforts to consider other practical alternatives to this conversion, how they were evaluated, and the reasons they were not pursued.

3. An explanation of how the conversion is in accord with the State Comprehensive Outdoor Recreation Plan (SCORP).

4. Completed “State Appraisal/Waiver Valuation Review form in Step 7 for each of the converted and replacement parcels certifying that the appraisals meet the “Uniform Appraisal Standards for Federal Land Acquisitions.” States must retain copies of the appraisals/waiver valuations and make them available for review upon request.

5. For the park land proposed for conversion, a detailed description including the following:
   a. Specific geographic location on a map, 9-digit zip code, and name of park or recreation area proposed for conversion.
   b. Description of the area proposed for the conversion including the acreage to be converted and any acreage remaining. For determining the size of the conversion, consider not only the physical footprint of the activity precipitating the conversion, but how the precipitating activity will impact the entire 6(f) park area. In many cases the size of the converted area is larger than the physical footprint. Include a description of the recreation resources, facilities, and recreation opportunities that will be impacted, displaced or lost by the proposed conversion. For proposals to partially convert a Section 6(f) park area, the remaining 6(f) park land must remain recreationally viable and not be impacted by the activities that are precipitating the conversion. If it is anticipated that the precipitating activities impact the remaining Section 6(f) area, the proposed area for the conversion should be expanded to encompass all impacted park land.
   c. Description of the community and population served by the park, including users of the park and uses.
   d. For partial conversions, a revised 6(f) map clearly indicating both the portion that is being converted and the portion remaining intact under Section 6(f).

6. For each proposed replacement site:
   a. Specific geographic location on a map, 9-digit zip code, and geographical relationship of converted and replacement sites. If site will be added to an existing public park/outdoor recreation area, indicate on map.
   b. Description of the site’s physical characteristics and resource attributes with number and types of resources and features on the site, for example, 15 acres wetland, 2,000 feet beachfront, 50 acres forest, scenic views, 75 acres riparian, vacant lot, special habitat, any unique or special features, structures, recreation amenities, historic/cultural resources, hazardous materials/contamination history, restrictions, institutional controls, easements, rights-of-way, overhead/underground utilities including overhead wires, towers, etc.
c. Identification of the owner of the replacement site and its recent history of usefulness up to the present.

d. Detailed explanation of how the proposed replacement site is of reasonably equivalent usefulness and location as the property being converted, including a description of the recreation needs that will be met by the new replacement parks, populations to be served, and new outdoor recreation resources, facilities, and opportunities to be provided.

e. Identification of owner and manager of the new replacement park.

f. Name of the new replacement park. If the replacement park is added to an existing public park area, will the existing area be included within the 6(f) boundary? What is the name of the existing public park area?

g. Timeframe for completing the new outdoor recreation area(s) to replace the recreation opportunity lost per the terms of conversion approval and the date replacement park(s) will be open to the public.

h. New Section 6(f) map for the new replacement park.

7. NEPA environmental review, including NHPA Section 106 review, for both the converted and replacement sites in the same document to analyze how the converted park land and recreational usefulness will be replaced. Except for "small" conversions (see LWCF Manual Chapter 8), conversions usually require an EA.

Proceed to Steps 5 through 7

C. Proposal for a Public Facility in a Section 6(f) Area

Prior to developing this proposal, you must consult the LWCF Manual for complete guidance. In summary, NPS must review and decide on requests to construct a public indoor and/or non-recreation facility within a Section 6(f) area. In certain cases NPS may approve the construction of public facilities within a Section 6(f) area where it can be shown that there will be a net gain in outdoor recreation benefits and enhancements for the entire park. In most cases, development of a non-recreation public facility within a Section 6(f) area constitutes a conversion. For NPS review, the State/sponsor must submit a proposal to NPS under a letter of transmittal from the SLO that:

1. Describes the purpose and all proposed uses of the public facility such as types of programming, recreation activities, and special events including intended users of the new facility and any agency, organization, or other party to occupy the facility. Describe the interior and exterior of the facility, such as office space, meeting rooms, food/beverage area, residential/lodging area, classrooms, gyms, etc. Explain how the facility will be compatible with the outdoor recreation area. Explain how the facility and associated uses will significantly support and enhance existing and planned outdoor recreation resources and uses of the site, and how outdoor recreation use will remain the primary function of the site. (The public's outdoor recreation use must continue to be greater than that expected for any indoor use, unless the site is a single facility, such as a swimming pool, which virtually occupies the entire site.)

2. Indicates the exact location of the proposed public facility and associated activities on the site's Section 6(f) map. Explain the design and location alternatives considered for the public facility and why they were not pursued.

3. Explains who will own and/or operate and maintain the facility? Attach any 3rd party leases and operation and management agreements. When will the facility be open to the public? Will the facility ever be used for private functions and closed to the public? Explain any user or other fees that will be instituted, including the fee structure.

4. Includes required documents as a result of a completed NEPA process (Steps 5 – 7).

Proceed to Steps 5 through 7
Step 4. Proposals for Temporary Non-Conforming Use, Significant Change in Use, and Sheltering Facilities (See LWCF Manual for guidance.)

A. Proposal for Temporary Non-Conforming Use
Prior to developing this proposal, you must consult the LWCF Manual for complete guidance. NPS must review and decide on requests for temporary uses that do not meet the requirements of allowable activities within a Section 6(f) area. A temporary non-conforming use is limited to a period of six months (180 days) or less. Continued use beyond six-months will not be considered temporary, and may result in a Section 6(f)(3) conversion of use requiring the replacement of converted parkland. For NPS review, describe the temporary non-conforming use (activities other than public outdoor recreation) in detail including the following information:

1. A letter of transmittal from the SLO recommending the proposal.
2. Describe in detail the proposed temporary non-conforming use and all associated activities, why it is needed, and alternative locations that were considered and why they were not pursued.
3. Explain length of time needed for the temporary non-conforming use and why.
4. Describe the size of the Section 6(f) area affected by the temporary non-conforming use activities and expected impacts to public outdoor recreation areas, facilities and opportunities. Explain efforts to keep the size of the area impacted to a minimum. Indicate the location of the non-conforming use on the site’s 6(f) map.
5. Describe any anticipated temporary/permanent impacts to the Section 6(f) area and how the sponsor will mitigate them during and after the non-conforming use ceases.
6. Consult the LWCF Manual for additional requirements and guidelines before developing the proposal.

Proceed to Steps 5 through 7

B. Proposal for Significant Change in Use
Prior to developing the proposal, you must consult the LWCF Manual for complete guidance. NPS approval must be obtained prior to any change from one eligible use to another when the proposed use would significantly contravene the original plans or intent for the area outlined in the original LWCF application for federal assistance. Consult with NPS for early determination on the need for a formal review. NPS approval is only required for proposals that will significantly change the use of a LWCF-assisted site (e.g., from passive to active recreation). The proposal must include and address the following items:

1. A letter of transmittal from the SLO recommending the proposal.
2. Description of the proposed changes and how they significantly contravene the original plans or intent of LWCF agreements.
3. Explanation of the need for change in use and how the change is consistent with local plans and the SCORP.
4. Consult the LWCF Manual for additional requirements and guidelines before developing the proposal.

Proceed to Steps 5 through 7

C. Proposal for Sheltering Facilities
Prior to developing this proposal, you must consult the LWCF Manual for complete guidance. NPS must review and decide on all proposals to shelter an existing outdoor recreation facility or construct a new sheltered recreation facility within a Section 6(f) area regardless of funding source. The proposal must demonstrate that there is an increased benefit to public recreation opportunity. Describe the sheltering proposal in detail, including the following:

1. A letter of transmittal from the SLO recommending the proposal.
2. Describe the proposed sheltered facility, how it would operate, how the sheltered facility will include recreation uses that could typically occur outdoors, and how the primary purpose of the sheltered facility is recreation.
3. Explain how the sheltered facility would not substantially diminish the outdoor recreation values of the site including how the sheltered facility will be compatible and significantly supportive of the outdoor recreation resources present and/or planned.

4. Explain how the sheltered facility will benefit the total park's outdoor recreation use.

5. Describe efforts provided to the public to review the proposal to shelter the facility and has local support.

6. Document that the sheltered facility will be under the control and tenure of the public agency which sponsors and administers the original park area.

7. Consult the LWCF Manual for additional requirements and guidelines before developing the proposal.

**Proceed to Steps 5 through 7**

**Step 5. Summary of Previous Environmental Review** (Including E.O. 12372 - Intergovernmental Review)

To avoid duplication of effort and unnecessary delays, describe any prior environmental review undertaken at any time and still viable for this proposal or related efforts that could be useful for understanding potential environmental impacts. Consider previous local, state, federal (e.g. HUD, EPA, USFWS, FHWA, DOT) and any other environmental reviews. At a minimum, address the following:

1. Date of environmental review(s), purpose for the environmental review(s) and for whom they were conducted.

2. Description of the proposed action and alternatives.

3. Who was involved in identifying resource impact issues and developing the proposal including the interested and affected public, government agencies, and Indian tribes.

4. Environmental resources analyzed and determination of impacts for proposed actions and alternatives.

5. Any mitigation measures to be part of the proposed action.

6. Intergovernmental Review Process (Executive Order 12372): Does the State have an Intergovernmental Review Process? Yes ____ No _____. If yes, has the LWCF Program been selected for review under the State Intergovernmental Review Process? Yes ____ No _____. If yes, was this proposal reviewed by the appropriate State, metropolitan, regional and local agencies, and if so, attach any information and comments received about this proposal. If proposal was not reviewed, explain why not.

7. Public comment periods (how long, when in the process, who was invited to comment) and agency response.

8. Any formal decision and supporting reasons regarding degree of potential impacts to the human environment.

9. Was this proposed LWCF federal action and/or any other federal actions analyzed/reviewed in any of the previous environmental reviews? If so, what was analyzed and what impacts were identified? Provide specific environmental review document references.

Use resource impact information generated during previous environmental reviews described above and from recently conducted site inspections to complete the Environmental Screening Form (ESF) portion of this PD/ESF under Step 6. Your ESF responses should indicate your proposal’s potential for impacting each resource as determined in the previous environmental review(s), and include a reference to where the analysis can be found in an earlier environmental review document. If the previous environmental review documents contain proposed actions to mitigate impacts, briefly summarize the mitigation for each resource as appropriate. The appropriate references for previous environmental review document(s) must be documented on the ESF, and the actual document(s) along with this PD/ESF must be included in the submission for NPS review.

**Proceed to Steps 6 through 7**
Step 6. Environmental Screening Form (ESF)

This portion of the PD/ESF is a working tool used to identify the level of environmental documentation which must accompany the proposal submission to the NPS. By completing the ESF, the project sponsor is providing support for its recommendation in Step 7 that the proposal either:

1. meets criteria to be categorically excluded (CE) from further NEPA review and no additional environmental documentation is necessary; or
2. requires further analysis through an environmental assessment (EA) or an environmental impact statement (EIS).

An ESF alone does not constitute adequate environmental documentation unless a CE is recommended. If an EA is required, the EA process and resulting documents must be included in the proposal submission to the NPS. If an EIS may be required, the State must request NPS guidance on how to proceed.

The scope of the required environmental analysis will vary according to the type of LWCF proposal. For example, the scope for a new LWCF project will differ from the scope for a conversion. Consult the LWCF Manual for guidance on defining the scope or extent of environmental analysis needed for your LWCF proposal. As early as possible in your planning process, consider how your proposal/project may have direct, indirect and cumulative impacts on the human environment for your type of LWCF action so planners have an opportunity to design alternatives to lessen impacts on resources, if appropriate. When used as a planning tool in this way, the ESF responses may change as the proposal is revised until it is ready for submission for federal review. Initiating or completing environmental analysis after a decision has been made is contrary to both the spirit and letter of the law of the NEPA.

The ESF should be completed with input from resource experts and in consultation with relevant local, state, tribal and federal governments, as applicable. The interested and affected public should be notified of the proposal and be invited to participate in scoping out the proposal (see LWCF Manual Chapter 4). At a minimum, a site inspection of the affected area must be conducted by individuals who are familiar with the type of affected resources, possess the ability to identify potential resource impacts, and to know when to seek additional data when needed.

At the time of proposal submission to NPS for federal review, the completed ESF must justify the NEPA pathway that was followed: CE recommendation, production of an EA, or production of an EIS. The resource topics and issues identified on the ESF for this proposal must be presented and analyzed in an attached EA/EIS. Consult the LWCF Manual for further guidance on LWCF and NEPA.

The ESF contains two parts that must be completed:

**Part A. Environmental Resources**

**Part B. Mandatory Criteria**

*Part A:* For each environmental resource topic, choose an impact estimate level (none, negligible, minor, exceeds minor) that describes the degree of potential negative impact for each listed resource that may occur directly, indirectly and cumulatively as a result of federal approval of your proposal. For each impacted resource provide a brief explanation of how the resource might be affected, how the impact level was determined, and why the chosen impact level is appropriate. If an environmental analysis has already been conducted on your proposal and is still viable, include the citation including any planned mitigation for each applicable resource, and choose an impact level as mitigated. If the resource does not apply to your proposal, mark NA in the first column. Add any relevant resources (see A.24 on the ESF) if not included in the list.

*Use a separate sheet* to briefly clarify how each resource could be adversely impacted: any direct, indirect, and cumulative impacts that may occur, and any additional data that still needs to be determined. Also explain any planned mitigation already addressed in previous environmental reviews.

*Part B:* This is a list of mandatory impact criteria that preclude the use of categorical exclusions. If you answer “yes” or “maybe” for any of the mandatory criteria, you must develop an EA or EIS regardless of your answers in Part A. Explain all “yes” and “maybe” answers on a separate sheet.

10/01/2013
For conversions, complete one ESF for each of the converted and replacement sites.

<table>
<thead>
<tr>
<th>A. ENVIRONMENTAL RESOURCES</th>
<th>Not Applicable-Resource does not exist</th>
<th>No/Negligible Impacts-Exits but no or negligible impacts</th>
<th>Minor Impacts</th>
<th>Impacts Exceed Minor EAVEIS required</th>
<th>More Data Needed to Determine Degree of Impact EAVEIS required</th>
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<tbody>
<tr>
<td>1. Geotechnical resources: soils, bedrock, slopes, streambeds, landforms, etc.</td>
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<td>2. Air quality</td>
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<td>3. Sound (noise impacts)</td>
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<td>4. Water quality/quantity</td>
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<td>5. Stream flow characteristics</td>
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<td>6. Marine/estuarine</td>
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<td>7. Floodplains/wetlands</td>
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<td>8. Land use/ownership patterns; property values; community livability</td>
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<td>9. Circulation, transportation</td>
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<td>10. Plant/animal/fish species of special concern and habitat; state/federal listed or proposed for listing</td>
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<td>11. Unique ecosystems, such as biosphere reserves, World Heritage sites, old growth forests, etc.</td>
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<td>12. Unique or important wildlife/wildlife habitat</td>
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<td>13. Unique or important fish/habitat</td>
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<td>14. Introduce or promote invasive species (plant or animal)</td>
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<td>15. Recreation resources, land, parks, open space, conservation areas, rec. trails, facilities, services, opportunities, public access, etc. Most conversions exceed minor impacts. See Step 3.B</td>
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<td>16. Accessibility for populations with disabilities</td>
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<td>17. Overall aesthetics, special characteristics/features</td>
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<td>18. Historical/cultural resources, including landscapes, ethnographic, archaeological, structures, etc. Attach SHPO/THPO determination.</td>
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<td>19. Socioeconomics, including employment, occupation, income changes, tax base, infrastructure</td>
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<td>20. Minority and low-income populations</td>
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<td>21. Energy resources (geothermal, fossil fuels, etc.)</td>
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<td>22. Other agency or tribal land use plans or policies</td>
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<td>23. Land/structures with history of contamination/hazardous materials even if remediated</td>
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<td>24. Other important environmental resources to address</td>
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</tbody>
</table>
### B. MANDATORY CRITERIA

<table>
<thead>
<tr>
<th>If your LWCF proposal is approved, would it...</th>
<th>Yes</th>
<th>No</th>
<th>To be determined</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Have significant impacts on public health or safety?</td>
<td></td>
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<tr>
<td>2. Have significant impacts on such natural resources and unique geographic characteristics as historic or cultural resources; park, recreation, or refuge lands, wilderness areas; wild or scenic rivers; national natural landmarks; sole or principal drinking water aquifers; prime farmlands; wetlands (E.O. 11980); floodplains (E.O. 11988); and other ecologically significant or critical areas.</td>
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<tr>
<td>3. Have highly controversial environmental effects or involve unresolved conflicts concerning alternative uses of available resources [NEPA section 102(2)(E)]?</td>
<td></td>
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<tr>
<td>4. Have highly uncertain and potentially significant environmental effects or involve unique or unknown environmental risks?</td>
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<tr>
<td>5. Establish a precedent for future action or represent a decision in principle about future actions with potentially significant environmental effects?</td>
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<tr>
<td>6. Have a direct relationship to other actions with individually insignificant, but cumulatively significant, environmental effects?</td>
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<tr>
<td>7. Have significant impacts on properties listed or eligible for listing on the National Register of Historic Places, as determined by either the bureau or office (Attach SHPO/THPO Comments)</td>
<td></td>
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<tr>
<td>8. Have significant impacts on species listed or proposed to be listed on the List of Endangered or Threatened Species, or have significant impacts on designated Critical Habitat for these species.</td>
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<tr>
<td>9. Violate a federal law, or a state, local, or tribal law or requirement imposed for the protection of the environment?</td>
<td></td>
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<tr>
<td>10. Have a disproportionately high and adverse effect on low income or minority populations (Executive Order 12898)?</td>
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<tr>
<td>11. Limit access to and ceremonial use of Indian sacred sites on federal lands by Indian religious practitioners or significantly adversely affect the physical integrity of such sacred sites (Executive Order 13007)?</td>
<td></td>
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</tr>
<tr>
<td>12. Contribute to the introduction, continued existence, or spread of noxious weeds or non-native invasive species known to occur in the area, or actions that may promote the introduction, growth, or expansion of the range of such species (Federal Noxious Weed Control Act and Executive Order 13112)?</td>
<td></td>
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</tbody>
</table>

### Environmental Reviewers

The following individual(s) provided input in the completion of the environmental screening form. List all reviewers including name, title, agency, field of expertise. Keep all environmental review records and data on this proposal in state compliance file for any future program review and/or audit. The ESF may be completed as part of a LWCF pre-award site inspection if conducted in time to contribute to the environmental review process for the proposal.

1.

2.

3.

The following individuals conducted a site inspection to verify field conditions.

List name of inspector(s), title, agency, and date(s) of inspection.

1.

2.

3.

State may require signature of LWCF sub-recipient applicant here:

Date 10/01/2013
Step 7. Recommended NEPA Pathway and State Appraisal/Waiver Valuation

First, consult the attached list of “Categorical Exclusions (CEs) for Which a Record is Needed.” If you find your action in the CE list and you have determined in Step 6A that impacts will be minor or less for each applicable environmental resource on the ESF and you answered “no” to all of the “Mandatory Criteria” questions in Step 6B, the proposal qualifies for a CE. Complete the following “State LWCF Environmental Recommendations” box indicating the CE recommendation.

If you find your action in the CE list and you have determined in Step 6A that impacts will be greater than minor or that more data is needed for any of the resources and you answered “no” to all of the “Mandatory Criteria” questions, your environmental review team may choose to do additional analysis to determine the context, duration, and intensity of the impacts of your project or may wish to revise the proposal to minimize impacts to meet the CE criteria. If impacts remain at the greater than minor level, the State/sponsor must prepare an EA for the proposal. Complete the following “State Environmental Recommendations” box indicating the need for an EA.

If you do not find your action in the CE list, regardless of your answers in Step 6, you must prepare an EA or EIS. Complete the following “State Environmental Recommendations” box indicating the need for an EA or EIS.

State NEPA Pathway Recommendation

☐ I certify that a site inspection was conducted for each site involved in this proposal and to the best of my knowledge, the information provided in this LWCF Proposal Description and Environmental Screening Form (PD/ESP) is accurate based on available resource data. All resulting notes, reports and Inspector signatures are stored in the state's NEPA file for this proposal and are available upon request. On the basis of the environmental impact information for this LWCF proposal as documented in this LWCF PD/ESP with which I am familiar, I recommend the following LWCF NEPA pathway:

☐ This proposal qualifies for a Categorical Exclusion (CE).
  • CE Item #: 
  • Explanation:

☐ This proposal requires an Environmental Assessment (EA) which is attached and has been produced by the State/sponsor in accordance with the LWCF Program Manual.

☐ This proposal may require an Environmental Impact Statement (EIS). NPS guidance is requested per the LWCF Program Manual.

Reproduce this certificate as necessary. Complete for each LWCF appraisal or waiver valuation.

State Appraisal/Waiver Valuation Review

Property address: 
Real property value: $ 
Date of appraisal transmittal letter/waiver: 
Effective date of value: 

I certify that: ☐ a State-certified Review Appraiser has reviewed the appraisal and has determined that it was prepared in conformity with the Uniform Appraisal Standards for Federal Land Acquisitions.

OR

☐ the State has reviewed and approved a waiver valuation for this property per 49 CFR 24.102(c)(2)(iv).

SLO/ASLO Original Signature: ________________________________ Date: ________________
Typed Name, 
Title, 
Agency: ________________________________

10/6/2013
National Environmental Policy Act
National Park Service-Land and Water Conservation Fund State Assistance Program
Categorical Exclusions for Which a Record is Needed

Note: The following are the NEPA Categorical Exclusions approved for use with all NPS programs. Only the unshaded categories apply to LWCF proposals. Before selecting a categorical exclusion (CE), complete the PD/ESF for the LWCF proposal to support the CE selection.

A. Actions related to general administration

(1) Changes or amendments to an approved action when such changes would cause no environmental impact. *LWCF actions that are covered include amendments for:*
   - time extensions with no change in project scope or with a reduction in project scope;
   - deleting work and no other work is added back into the project scope;
   - changing project cost with no change in project scope or with a reduction in project scope;
   - making administrative changes that do not affect project scope.

(2) Minor boundary changes that are accomplished through existing statutory authorities and that result in no change in land use.

(3) Re-issuance/renewal of permits, rights-of-way, or easements not involving new environmental impacts provided that the impacts of the original actions were evaluated in an environmental document.

(4) Conversion of existing permits to rights-of-way, when such conversions neither continue nor potentially initiate adverse environmental conditions, provided that the impacts of the original actions were evaluated in an environmental document.

(5) Issuances, extensions, renewals, re-issuances, or minor modifications of concession contracts or permits that do not entail new construction or any potential for new environmental impact as a result of concession operations.

(6) Incidental business permits (formerly called commercial use licenses) involving no construction or potential for new environmental impact.

(7) Leasing of historic properties in accordance with 36 CFR 18 and NPS-38.

(8) Modifications or revisions to existing regulations, or the promulgation of new regulations for NPS-administered areas, provided the modifications, revisions, or new regulations do not:

   (a) increase public use to the extent of compromising the nature and character of the area or cause physical damage to it.

   (b) introduce non-compatible uses that might compromise the nature and characteristics of the area or cause physical damage to it.

   (c) conflict with adjacent ownerships or land uses.

   (d) cause a nuisance to adjacent owners or occupants.
(9) At the direction of the NPS responsible official, actions where NPS has concurrence or co-approval with another bureau and the action is a CE for that bureau, and where NPS agrees that there is no potential for environmental impact.

(10) Routine transfers of jurisdiction between the NPS and the District of Columbia accomplished through existing statutory authority, where no change of use in the land is anticipated upon transfer.

B. Plans, studies, and reports

(1) Changes or amendments to an approved plan, when such changes have no potential for environmental impact.

(2) Cultural resources maintenance guides, collection management plans, and historic furnishings reports.

(3) Interpretive plans (interpretive prospectuses, audio-visual plans, museum exhibit plans, wayside exhibit plans).

(4) Plans, including priorities, justifications, and strategies, for non-manipulative research, monitoring, inventorying, and information-gathering.

(5) Agreements between NPS offices for plans and studies.

(6) Authorization, funding, or approval for the preparation of statewide comprehensive outdoor recreation plans (SCORPs).

(7) Adoption or approval of academic or research surveys, studies, reports, and similar documents that do not contain and will not result in NPS recommendations.

(8) Land protection plans that propose changes to existing land or visitor use when the changes have no potential for environmental impact.

C. Actions related to development

(1) Land acquisition within established park boundaries, if future anticipated uses would have no potential for environmental impact.

(2) Land exchanges that will not lead to anticipated changes in the use of land and that have no potential for environmental impact. For LWCF, some small conversions may meet this criterion. See the LWCF Manual Chapter 8 for further guidance.

(3) Routine maintenance and repairs to non-historic structures, facilities, utilities, grounds, and trails.

(4) Routine maintenance and repairs to cultural resource sites, structures, utilities, and grounds if the action falls under an approved Historic Structures Preservation Guide or Cyclic Maintenance Guide or if the action would not adversely affect the cultural resource.

(5) Installation of LWCF eligible signs, displays, and kiosks.
(6) Installation of navigation aids.

(7) Experimental testing of short duration (no more than one season) of mass transit systems, and changes in operation of existing systems, that have no potential for environmental impact.

(8) Replacement in kind of minor structures and facilities with little or no change in location, capacity, or appearance—for example, comfort stations, pit toilets, fences, kiosks, signs and campfire circles.

(9) Repair, resurfacing, striping, installation of traffic control devices, and repair/replacement of guardrails, culverts, signs, and other minor existing features on existing roads when no potential for environmental impact exists.

(10) Changes in sanitary facilities operation resulting in no new environmental effects.

(11) Installation of wells, comfort stations, and pit or vault toilets in areas of existing use and in developed areas.

(12) Minor trail relocation or development of compatible trail networks on logging roads or other established routes.

(13) Upgrading or adding new overhead utility facilities on existing poles, or on replacement poles that do not change existing pole line configurations.

(14) Issuance of rights-of-way for overhead utility lines to an individual building or well from an existing line where installation will not result in visual intrusion and will involve no clearance of vegetation other than for placement of poles.

(15) Issuance of rights-of-way for minor overhead utility lines not involving placement of poles or towers and not involving vegetation management or visual intrusion in an area administered by NPS.

(16) Installation of underground utilities in areas showing clear evidence of recent human disturbance or areas within an existing road prism or within an existing overhead utility right-of-way.

(17) Minor landscaping in areas showing clear evidence of recent human disturbance.

(18) Installation of fencing enclosures, exclosures, or boundary fencing posing no effect on wildlife migrations.

D. Actions related to visitor use

(1) Minor changes in amounts or types of visitor use for the purpose of ensuring visitor safety or resource protection in accordance with existing regulations.

(2) Minor changes in programs and regulations pertaining to visitor activities.

(3) Issuance of permits for demonstrations, gatherings, ceremonies, concerts, arts and crafts shows, and so forth, entailing only short-term or readily remediable environmental disturbance.

LWCF State Assistance Program NEPA Categorical Exclusions

3

10/01/2008
(4) Designation of trailside camping zones with minimal or no improvements.

E. Actions related to resource management and protection

(1) Archeological surveys and permits involving only surface collection or small-scale test excavations.

(2) Restoration of non-controversial (based on internal scoping requirements in section 2.6) native species into suitable habitats within their historic range.

(3) Removal of individual members of a non-threatened/endangered species or populations of pests and exotic plants that pose an imminent danger to visitors or an immediate threat to park resources.

(4) Removal of non-historic materials and structures in order to restore natural conditions when the removal has no potential for environmental impacts, including impacts to cultural landscapes or archeological resources.

(5) Development of standards for, and identification, nomination, certification, and determination of, eligibility of properties for listing in the National Register of Historic Places, the National Historic Landmark and National Natural Landmark Programs, and biosphere reserves.

(6) Non-destructive data collection, inventory (including field, aerial, and satellite surveying and mapping), study, research, and monitoring activities (this is also a Departmental CE).

(7) Designation of environmental study areas and research natural areas, including those closed temporarily or permanently to the public, unless the potential for environmental (including socioeconomic) impact exists.

F. Actions related to grant programs

(1) Proposed actions essentially the same as those listed in paragraphs A-E above not shaded in gray.

(2) Grants for acquisition to areas that will continue in the same use or lower density use with no additional disturbance to the natural setting or type of use.

(3) Grants for replacement or renovation of facilities at their same location without altering the kind and amount of recreational, historical, or cultural resources of the area or the integrity of the existing setting.

(4) Grants for construction of facilities on lands acquired under a previous NPS or other federal grant, provided that the development is in accord with plans submitted with the acquisition grant, and that environmental documents have been completed on the impacts of the proposal funded by the original grant.

(5) Grants for the construction of new facilities within an existing park or recreation area, provided that the facilities will not:

   (a) conflict with adjacent ownerships or land use, or cause a nuisance to adjacent owners or occupants, such as would happen if use were extended beyond daylight hours.
(b) introduce motorized recreation vehicles, including off-road vehicles, personal water craft, and snowmobiles.

(c) introduce active recreation pursuits into a passive recreation area.

(d) increase public use or introduce non-compatible uses to the extent of compromising the nature and character of the property or causing physical damage to it.

(e) add or alter access to the park from the surrounding area.

(6) Grants for the restoration, rehabilitation, stabilization, preservation, and reconstruction (or the authorization thereof) of properties listed on or eligible for listing on the National Register of Historic Places, at their same location, and provided that such actions:

(a) will not alter the integrity of the property or its setting

(b) will not increase public use of the area to the extent of compromising the nature and character of the property.
**LWCF RECORD OF ELECTRONIC PAYMENT**

NPS supplement to the ASAP system

<table>
<thead>
<tr>
<th>State</th>
<th>Payment No.</th>
<th>Date*</th>
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| LWCF Grant No. | ASAP Account ID (If grant has multiple lines, report draws by line) | Request No. | Select Type: Partial, Final or Adjustment | Amount | Period of Performance**:
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Submitted By (Name/Title/Office/Agency):

---

To (as an e-mail attachment):
elizabeth.fondriet@nps.gov, Insert NPS LWCF contact(s)

* Date of ASAP request - email submission to NPS Regional Office and NPS WASD should be same date of but NO LATER THAN one business day after ASAP request.

** Period of Performance - Enter the month, day, and year for the beginning and ending of the period covered by this payment, i.e. the time period for specific work performed and/or costs incurred that are being reimbursed through the ASAP payment identified herein.

**Paperwork Reduction Act Statement:** This information collection is authorized by the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 460h-4a et seq.). Your response is required to obtain or retain a benefit. We use this information to obtain payment information for grants. We estimate that it will take 1/2 hour to complete this form, including the time necessary to review instructions, gather data, and review this form.

You may send comments on the burden estimate or any aspect of this form to the Information Collection Clearance Officer, National Park Service, 1849 C Street NW (2801), Washington, DC 20240. We may not collect or sponsor you and are not required to respond to a collection of information unless it displays a currently valid OMB control number.
## BUDGET INFORMATION - Non-Construction Programs

### SECTION A - BUDGET SUMMARY

<table>
<thead>
<tr>
<th>Grant Program Function or Activity (a)</th>
<th>Catalog of Federal Domestic Assistance Number (b)</th>
<th>Estimated Unobligated Funds</th>
<th>New or Revised Budget</th>
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<td>Federal (c)</td>
<td>Non-Federal (d)</td>
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<td>1.</td>
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<td>2.</td>
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<td>5. Totals</td>
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### SECTION B - BUDGET CATEGORIES

<table>
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<tr>
<th>6. Object Class Categories</th>
<th>GRANT PROGRAM, FUNCTION OR ACTIVITY</th>
<th>Total (g)</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Personnel</td>
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<tr>
<td>b. Fringe Benefits</td>
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<tr>
<td>c. Travel</td>
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<td>$</td>
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<tr>
<td>d. Equipment</td>
<td>(4) $</td>
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<tr>
<td>e. Supplies</td>
<td></td>
<td>$</td>
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<td>f. Contractual</td>
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<td>g. Construction</td>
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<td>$</td>
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<tr>
<td>h. Other</td>
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<tr>
<td>i. Total Direct Charges (sum of 6a-6h)</td>
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<td>0 $</td>
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<td>j. Indirect Charges</td>
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<tr>
<td>k. TOTALS (sum of 6i and 6j)</td>
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<td>0 $</td>
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<tr>
<td>7. Program Income</td>
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Authorized for Local Reproduction
### SECTION C - NON-FEDERAL RESOURCES

<table>
<thead>
<tr>
<th>(a) Grant Program</th>
<th>(b) Applicant</th>
<th>(c) State</th>
<th>(d) Other Sources</th>
<th>(e) TOTALS</th>
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### SECTION D - FORECASTED CASH NEEDS

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<th>Total for 1st Year</th>
<th>1st Quarter</th>
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<td><strong>15. TOTAL</strong></td>
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### SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

<table>
<thead>
<tr>
<th>(a) Grant Program</th>
<th>(b) First</th>
<th>(c) Second</th>
<th>(d) Third</th>
<th>(e) Fourth</th>
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<td><strong>20. TOTAL</strong></td>
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### SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges: 
22. Indirect Charges: 
23. Remarks: 

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INSTRUCTIONS FOR THE SF-424A

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0044), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the latter case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines 1-8 of Section B.

Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a single Federal grant program (Federal Domestic Assistance Catalog number) and not requiring a functional or activity breakdown, enter on Line 1 under Column (a) the Catalog number and the Catalog number in Column (b).

For applications pertaining to a single program requiring budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a) and enter the Catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the Catalog program title on each line in Column (a) and the respective Catalog number on each line in Column (b).

For applications pertaining to multiple programs where one or more programs require a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g)

For new applications, leave Column (c) and (d) blank. For each line entry in Columns (e) and (b), enter in Columns (e), (f) and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For continuing grant program applications, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in column (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum amounts in Columns (e) and (f).

For supplemental grants and changes to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5 - Show the totals for all columns used.

Section B Budget Categories

In the column headings (1) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Line 6a-d - Show the totals of Lines 6a to 6h in each column.

Line 6j - Show the amount of Indirect cost.

Line 6k - Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (b), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Column (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7 - Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show under the program.
INSTRUCTIONS FOR THE SF-424A (continued)

narrative statement the nature and source of income. The estimated amount of program income may be considered by the Federal grantor agency in determining the total amount of the grant.

Section C. Non-Federal Resources

Lines 8-11 Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

Column (a) - Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b) - Enter the contribution to be made by the applicant.

Column (c) - Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

Column (d) - Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e) - Enter totals of Columns (b), (c), and (d).

Line 12 - Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f), Section A.

Section D. Forecasted Cash Needs

Line 13 - Enter the amount of cash needed by quarter from the grantor agency during the first year.

Line 14 - Enter the amount of cash from all other sources needed by quarter during the first year.

Line 15 - Enter the totals of amounts on Lines 13 and 14.

Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

Lines 16-19 - Enter in Column (a) the same grant program titles shown in Column (a), Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funds for the current year of existing grants.

If more than four lines are needed to list the program titles, submit additional schedules as necessary.

Line 20 - Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

Section F. Other Budget Information

Line 21 - Use this space to explain amounts for individual direct object class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

Line 22 - Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

Line 23 - Provide any other explanations or comments deemed necessary.
<table>
<thead>
<tr>
<th>Grant Program Function or Activity</th>
<th>Catalog of Federal Domestic Assistance Number</th>
<th>Estimated Unobligated Funds</th>
<th>New or Revised Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Federal (c)</td>
<td>Non-Federal (d)</td>
</tr>
<tr>
<td>1.</td>
<td></td>
<td>$</td>
<td>$</td>
</tr>
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<td></td>
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<tr>
<td>2.</td>
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<tr>
<td>3.</td>
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<td>$</td>
<td>$</td>
</tr>
<tr>
<td>4.</td>
<td></td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>5. Totals</td>
<td></td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>

Standard Form 424A (Rev. 7-97)
Prescribed by OMB Circular A-102 Page 9
ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.

2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.

3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.

5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4783) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).

6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683 and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (20 U.S.C. §794) which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-  
Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act  
(40 U.S.C. §276c and 18 U.S.C. §674), and the Contract  
Work Hours and Safety Standards Act (40 U.S.C. §§327-  
333), regarding labor standards for federally-assisted  
construction subagreements.

10. Will comply, if applicable, with flood insurance purchase  
requirements of Section 102(a) of the Flood Disaster  
Protection Act of 1973 (P.L. 93-234) which requires  
recipients in a special flood hazard area to participate in the  
program and to purchase flood insurance if the total cost of  
insurable construction and acquisition is $10,000 or more.

11. Will comply with environmental standards which may be  
prescribed pursuant to the following: (a) institution of  
environmental quality control measures under the National  
Environmental Policy Act of 1969 (P.L. 91-190) and  
Executive Order (EO) 11514; (b) notification of violating  
facilities pursuant to EO 11738; (c) protection of wetlands  
pursuant to EO 11986; (d) evaluation of flood hazards in  
floodplains in accordance with EO 11986; (e) assurance of  
project consistency with the approved State management  
program developed under the Coastal Zone Management  
Act of 1972 (46 U.S.C. §§1451 et seq.); (f) conformity of  
Federal actions to State (Clean Air) Implementation Plans  
under Section 176(c) of the Clean Air Act of 1955, as  
amended (42 U.S.C. §§7401 et seq.); (g) protection of  
underground sources of drinking water under the Safe  
Drinking Water Act of 1974, as amended (P.L. 93-523);  
and, (h) protection of endangered species under the  
Endangered Species Act of 1973, as amended (P.L. 93-  
205).

12. Will comply with the Wild and Scenic Rivers Act of  
1968 (16 U.S.C. §§1271 et seq.) related to protecting  
components or potential components of the national  
wild and scenic rivers system.

13. Will assist the awarding agency in assuring compliance  
with Section 106 of the National Historic Preservation  
(identification and protection of historic properties), and  
the Archaeological and Historic Preservation Act of  
1974 (49 U.S.C. §§405a-1 et seq.).

14. Will comply with P.L. 93-348 regarding the protection of  
human subjects involved in research, development, and  
related activities supported by this award of assistance.

15. Will comply with the Laboratory Animal Welfare Act of  
concerning the care, handling, and treatment of warm-blooded  
animals held for research, teaching, or other activities  
supported by this award of assistance.

16. Will comply with the Lead-Based Paint Poisoning  
Prevention Act (42 U.S.C. §§4601 et seq.) which  
prohibits the use of lead-based paint in construction or  
rehabilitation of residence structures.

17. Will cause to be performed the required financial and  
compliance audits in accordance with the OMB Circular No. A-133,  
"Audits of States, Local Governments, and Non-Profit  
Organizations."

18. Will comply with all applicable requirements of all other  
Federal laws, executive orders, regulations, and policies  
governing this program.

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<table>
<thead>
<tr>
<th>SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</th>
<th>TITLE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>APPLICANT ORGANIZATION</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>DATE SUBMITTED</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>
### BUDGET INFORMATION - Construction Programs

*NOTE: Certain Federal assistance programs require additional computations to arrive at the Federal share of project costs eligible for participation. If such is the case, you will be notified.*

<table>
<thead>
<tr>
<th>COST CLASSIFICATION</th>
<th>a. Total Cost</th>
<th>b. Costs Not Allowable for Participation</th>
<th>c. Total Allowable Costs (Columns a-b)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Administrative and legal expenses</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>2. Land, structures, rights-of-way, appraisals, etc.</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>3. Relocation expenses and payments</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>4. Architectural and engineering fees</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>5. Other architectural and engineering fees</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>6. Project inspection fees</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>7. Site work</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>8. Demolition and removal</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>9. Construction</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>10. Equipment</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>11. Miscellaneous</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>12. SUBTOTAL (sum of lines 1-11)</td>
<td>$ 0.00</td>
<td>$</td>
<td>$ 0.00</td>
</tr>
<tr>
<td>13. Contingencies</td>
<td>$ 0.00</td>
<td>$</td>
<td>$ 0.00</td>
</tr>
<tr>
<td>14. SUBTOTAL</td>
<td>$ 0.00</td>
<td>$</td>
<td>$ 0.00</td>
</tr>
<tr>
<td>15. Project (program) income</td>
<td>$ 0.00</td>
<td>$</td>
<td>$ 0.00</td>
</tr>
<tr>
<td>16. TOTAL PROJECT COSTS (subtract #15 from #14)</td>
<td>$ 0.00</td>
<td>$</td>
<td>$ 0.00</td>
</tr>
</tbody>
</table>

**FEDERAL FUNDING**

17. Federal assistance requested, calculate as follows:

(Consult Federal agency for Federal percentage share.)
Enter eligible costs from line 16c. Multiply X ________% $ 0.00

Enter the resulting Federal share.

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- Standard Form 424C (Rev. 7-97)
- Prescribed by OMB Circular A-102
INSTRUCTIONS FOR THE SF-424C

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0041), Washington, DC 20503.

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This sheet is to be used for the following types of applications: (1) “New” (means a new [previously unfunded] assistance award); (2) “Continuation” (means funding in a succeeding budget period which stemmed from a prior agreement to fund; and (3) “Revised” (means any changes in the Federal Government’s financial obligations or contingent liability from an existing obligation). If there is no change in the award amount, there is no need to complete this form. Certain Federal agencies may require only an explanatory letter to effect minor (no cost) changes. If you have questions, please contact the Federal agency.

**Column a.** - If this is an application for a “New” project, enter the total estimated cost of each of the items listed on lines 1 through 16 (as applicable) under “COST CLASSIFICATION.”

If this application entails a change to an existing award, enter the eligible amounts approved under the previous award for the items under “COST CLASSIFICATION.”

**Column b.** - If this is an application for a “New” project, enter that portion of the cost of each item in Column a which is not allowable for Federal assistance. Contact the Federal agency for assistance in determining the allowability of specific costs.

If this application entails a change to an existing award, enter the adjustment (+ or -) to the previously approved costs (from column a) reflected in this application.

**Column c.** - This is the net of lines 1 through 16 in columns “a.” and “b.”

**Line 1** - Enter estimated amounts needed to cover administrative expenses. Do not include costs which are related to the normal functions of government. Allowable legal costs are generally only those associated with the purchases of land which is allowable for Federal participation and certain services in support of construction of the project.

**Line 2** - Enter estimated site and right(s)-of-way acquisition costs (this includes purchase, lease, and/or easements).

**Line 3** - Enter estimated costs related to relocation advisory assistance, replacement housing, relocation payments to displaced persons and businesses, etc.

**Line 4** - Enter estimated basic engineering fees related to construction (this includes start-up services and preparation of project performance work plan).

**Line 5** - Enter estimated engineering costs, such as surveys, tests, soil borings, etc.

**Line 6** - Enter estimated engineering inspection costs.

**Line 7** - Enter estimated costs of site preparation and restoration which are not included in the basic construction contract.

**Line 8** - Enter estimated cost of the construction contract.

**Line 9** - Enter estimated cost of office, shop, laboratory, safety equipment, etc. to be used at the facility, if such costs are not included in the construction contract.

**Line 10** - Enter estimated miscellaneous costs.

**Line 11** - Total of columns a. and b.

**Line 12** - Total of items 1 through 11.

**Line 13** - Enter estimated contingency costs. (Consult the Federal agency for the percentage of the estimated construction cost to use.)

**Line 14** - Enter the total of lines 12 and 13.

**Line 15** - Enter estimated program income to be earned during the grant period, e.g., salvaged materials, etc.

**Line 16** - Subtract line 15 from line 14.

**Line 17** - This block is for the computation of the Federal share. Multiply the total allowable project costs from line 16, column “c.” by the Federal percentage share (this may be up to 100 percent; consult Federal agency for Federal percentage share) and enter the product on line 17.
ASSURANCES - CONSTRUCTION PROGRAMS

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NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.

2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.

3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.

4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.

5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.

6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.

7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).

9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§15801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.

10. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §784), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
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12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.


14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is $10,000 or more.

15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).


18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing the program.

<table>
<thead>
<tr>
<th>SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</th>
<th>TITLE</th>
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<tr>
<th>APPLICANT ORGANIZATION</th>
<th>DATE SUBMITTED</th>
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</table>
# Application for Federal Assistance SF-424

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
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<tbody>
<tr>
<td>1.</td>
<td>Type of Submission:</td>
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<tr>
<td>2.</td>
<td>Type of Application:</td>
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<td>3.</td>
<td>Date Received:</td>
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<td>4.</td>
<td>Applicant Identifier:</td>
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<tr>
<td>5a.</td>
<td>Federal Entity Identifier:</td>
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<td>5b.</td>
<td>Federal Award Identifier:</td>
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<td>6.</td>
<td>Date Received by State:</td>
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<td>7.</td>
<td>State Application Identifier:</td>
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<td>8.</td>
<td>APPLICANT INFORMATION:</td>
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<tr>
<td>a.</td>
<td>Legal Name:</td>
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<tr>
<td>b.</td>
<td>Employer/Taxpayer Identification Number (EIN/TIN):</td>
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<td>c.</td>
<td>Organizational DUNS:</td>
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<td>d.</td>
<td>Address:</td>
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<td>Street1:</td>
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<td>Street2:</td>
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<td>City:</td>
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<td>County/Parish:</td>
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<td>State:</td>
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<td>Province:</td>
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<td>Country:</td>
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<td></td>
<td>USA: UNITED STATES</td>
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<td></td>
<td>Zip / Postal Code:</td>
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<td>e.</td>
<td>Organizational Unit:</td>
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<td>Department Name:</td>
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<td>Division Name:</td>
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<td>f.</td>
<td>Name and contact information of person to be contacted on matters involving this application:</td>
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<td>Prefix:</td>
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<td>First Name:</td>
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<td>Organizational Affiliation:</td>
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<td>Telephone Number:</td>
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<td>Fax Number:</td>
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<td>Email:</td>
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<td><strong>Application for Federal Assistance SF-424</strong></td>
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<td><strong>9. Type of Applicant 1: Select Applicant Type:</strong></td>
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<td><strong>Type of Applicant 2: Select Applicant Type:</strong></td>
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<td><strong>Type of Applicant 3: Select Applicant Type:</strong></td>
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<td><strong>Other (specify):</strong></td>
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<td><strong>10. Name of Federal Agency:</strong></td>
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<td><strong>11. Catalog of Federal Domestic Assistance Number:</strong></td>
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<td><strong>CFDA Title:</strong></td>
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<td><strong>12. Funding Opportunity Number:</strong></td>
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<td><strong>Title:</strong></td>
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<td><strong>13. Competition Identification Number:</strong></td>
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<td><strong>Title:</strong></td>
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<td><strong>14. Areas Affected by Project (Cities, Counties, States, etc.):</strong></td>
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<td><strong>Add Attachment</strong></td>
<td><strong>Delete Attachment</strong></td>
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<td><strong>15. Descriptive Title of Applicant's Project:</strong></td>
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<td>Attach supporting documents as specified in agency instructions.</td>
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<tr>
<td><strong>Add Attachments</strong></td>
<td><strong>Delete Attachments</strong></td>
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</tbody>
</table>
Application for Federal Assistance SF-424

16. Congressional Districts Of:
   - a. Applicant
   - b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:
   - a. Start Date:
   - b. End Date:

18. Estimated Funding ($):
   - a. Federal
   - b. Applicant
   - c. State
   - d. Local
   - e. Other
   - f. Program Income
   - g. TOTAL

19. Is Applicant Subject to Review By State Under Executive Order 12372 Process?
   - a. This application was made available to the State under the Executive Order 12372 Process for review on
   - b. Program is subject to E.O. 12372 but has not been selected by the State for review.
   - c. Program is not covered by E.O. 12372

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)
   - Yes
   - No

   if "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 21, Section 1007)

   ** I AGREE

   ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Suffix:
First Name: Middle Name:
Last Name:

Title:

Telephone Number: Fax Number:

Email:

Signature of Authorized Representative: * Date Signed:
**FEDERAL FINANCIAL REPORT**

1. Federal Agency and Organizational Element to Which Report is Submitted

2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)

3. Recipient Organization (Name and complete address including ZIP code)

4a. DUNS Number

4b. BIN

5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)

6. Report Type
   - Quarterly
   - Semi-Annual
   - Annual
   - Final

7. Basis of Accounting
   - Cash
   - Accrual

8. Project/Grant Period From: [Month, Day, Year] To: [Month, Day, Year]

9. Reporting Period End Date [Month, Day, Year]

10. Transactions
   - Cumulative

   **(Uses lines a-c for single or multiple grant reporting)**
   
   **Federal Cash** (To report multiple grants, also use FFR Attachment):
   - Cash Receipts
   - Cash Disbursements
   - Cash on Hand (line a minus c)

   **(Uses lines d-o for single grand reporting)**

   **Federal Expenditures and Unobligated Balance**:
   - Total Federal funds authorized
   - Federal share of expenditures
   - Federal share of unliquidated obligations
   - Total Federal funds (sum of lines d and e)
   - Unobligated balance of Federal funds (line d minus e)

   **Recipient Share**:
   - Total recipient share required
   - Recipient share of expenditures
   - Remaining recipient share to be provided (line f minus g)

   **Program Income**:
   - Total Federal program income earned
   - Program income expended in accordance with the distribution alternative
   - Program income expended in accordance with the addition alternative
   - Unexpended program income (line h minus line i or line j)

11. Indirect Expense

   **a. Type**
   **b. Rate**
   **c. Period From**
   **d. Period To**
   **e. Base**
   **f. Amount Charged**
   **g. Federal Share**

   **Totals**

12. Reminders: Attach any documentation deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.

13. Certification: By signing this report, certify to the best of my knowledge and belief that the report is true, complete, and accurate, and that the expenditures and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties (U.S. Code, Title 18, Section 1001)

   **a. Typed or Printed Name and Title of Authorized Certifying Official**
   **b. Signature of Authorized Certifying Official**

   **c. Telephone (area code, number and extension)**
   **d. Email address**

   **e. Date Report Submitted (Month, Day, Year)**

14. Agency use only:

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**Paperwork Reduction Act**

According to the Paperwork Reduction Act, no person is required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 1615-0035. Public reporting burden for this collection of information is estimated to average 5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of the collection of information, including suggestions for reducing the burden, to the Office of Management and Budget, Paperwork Reduction Project (1615-0035), Washington, DC 20503.